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5   S P E C I F I C A T I O N

                                TITLE OF INVENTION

PASSWORD PROTECTION FOR HIGH RELIABILITY COMPUTER SYSTEMS

10   STATEMENT OF RELATED APPLICATION

This application is related to U.S. patent application Serial No. 09/_____,

entitled "METHOD AND APPARATUS FOR CONFIGURATION IN MULTI

PROCESSING ENGINE COMPUTER SYSTEMS", filed on even date herewith in

the names of the same inventors and commonly assigned herewith.

15   BACKGROUND OF THE INVENTION

Field of the Invention

       The present invention relates to the field of computer systems and data

communications networks. More particularly, the present invention relates to a

20 method and apparatus for providing password protection for multiple processing

engines (PEs) in a high reliability computer system such as found in a data

communications network.

The Background

25   A computer system on a data communications network is potentially accessible

by anyone on the network. Since the Internet interconnects various networks, a

computer system on such a network is potentially accessible to the tens of millions of

people who now access the Internet. Therefore, security is a major requirement for

almost all computer systems, so as to protect such computer systems from inadvertent

30 or intentional accesses and undesirable actions.

Password protection is one of the various security devices for restricting or limiting access to a computer system. Passwords may be set in accordance with the mode or nature of commands to be executed on the system; various levels of access

5   may be provided. For example, initial access to the computer system may be secured by setting passwords for individual access lines to the system through its interfaces and ports. Execution of some classes of commands may be allowed only to some privileged users, but not to all users who log in to the system. An ordinary user may execute nondestructive commands such as connecting to remote devices, changing

10   terminal settings on a temporary basis, listing system information, etc. However, potentially destructive commands such as changing configuration parameters that affect the system as a whole, shutting down an interface, rebooting the system, and the like, generally require additional protection. The former commands are referred to as "user mode" commands and are executed in an ordinary user mode, and the latter

15   are referred to as "privileged mode" commands, executable only in one of possibly several privileged modes. Typically, a privileged user is required to enter an enable password to get into the privileged mode after he or she is granted accesses to the user mode. Passwords are typically set during the initial configuration process of the system.

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Fig. 1 shows an example of an initialization process which begins with the startup of a computer system, for example, a packet router. In a typical startup initialization process, the system checks the hardware and performs a power-on self-test by executing commands stored in a ROM (read only memory), and then finds and

25   loads operating system (OS) software. The OS software may be loaded, for example, from a flash memory or ROM. Next, the system finds and loads configuration information from a configuration file. If the previously created and saved configuration file is found in a non-volatile random access memory (NVRAM), the configuration file is loaded into a memory (RAM) and executed on a line-by-line

basis. This execution of the configuration file defines settings and parameters of interfaces and other hardware in the system, resulting in the system becoming operational.

5   If no previously created configuration file exists, the OS, such as a Cisco IOS™ product, available from Cisco Systems, Inc. of San Jose, California, executes a predefined question-driven configuration display (setup dialog) to a user and creates a basic configuration. The newly created configuration file is stored in the NVRAM and will be loaded as a default at the next initialization process. In the case of routers,

10   for example, the configuration process includes defining router-specific attributes and protocol functions, defining addresses, operating rates and other parameters of interfaces and other hardware, and establishing passwords (including an enable password). The passwords can be changed thereafter by a user who has access to a privileged mode (a user with the enable password).

15   Reliability is another important requirement for computer systems, especially for computer systems maintaining important information or for those systems the failure of which would significantly affect other systems. Routers are an example of such computer systems requiring high reliability. Routers usually transfer packets of

20   data from one network to another. The failure of a router may affect all networks and hosts that send or receive packets handled by that router. One technique used to realize high reliability in a computer system is to make the system redundant, providing the system with a primary processing engine and a secondary (back-up) processing engine. In such a redundant processing engine system, when the primary

25   processing engine fails, the secondary processing engine can immediately take over the duties of the primary processing engine so as to continue running the system.

A processing engine (PE) usually includes one or more CPUs or microprocessors, supporting circuitry, a variety of memories such as DRAM, ROM,

static RAM, etc., and a bus. In a symmetric multiprocessing (SMP) system, for example, a PE is a collection of unit processors. A PE may also be implemented as a microcode engine.

5   Fig. 2 shows operations in a prior art redundant PE system. In such a redundant PE system, when the system is started, the primary PE performs the initialization process for the system. The primary PE configures the system by loading and executing the configuration file thereof, during which an enable password for the primary PE (along with other passwords for the system) is set so as to protect

10   the system. The secondary PE waits for the primary PE to fail, and then takes over the system after the failure of the primary PE is detected. Such a failover may take place when a failure of the hardware or a severe problem in the software occurs. For example, when a bus error or a segmentation violation occurs, it may be better to switch to a back up PE via a seamless take-over from the original PE rather than to

15   attempt to resolve the problem on the original PE.

        The failure may be detected by the lack of or issuance of a specific indication signal from the primary PE. Once the secondary PE takes over the system, it reboots the failed PE and performs reinitialization. At this point the secondary PE loads and

20   executes its own configuration file (the configuration may or may not be the same as that of the primary PE). An enable password and other passwords are set for the secondary PE in this configuration process, and the system is password-protected as well.

25   In many computer systems, such as network routers, the operating system uses a command line interface (CLI). In a CLI-based system, commands are executed regardless of whether the commands are entered by a user, for example, from a console, or read from an executable file such as a configuration file. Thus, in a CLI-based system, loading a configuration file into a memory causes all configuration

commands in the file to be executed. Therefore, if the secondary PE in a redundant PE system reads its configuration file, it could potentially redefine or alter all of the settings and parameters of the interfaces and other hardware of the system, which are still under the control of the primary PE. For this reason, in a conventional redundant

5   PE system using a CLI, the secondary PE is not allowed to load the configuration file into its memory until the primary PE fails.

There are some situations where it is desirable for a redundant PE system to make certain commands available to the secondary PE before the primary PE fails.

10   For example, suppose that in a router the primary PE is handling all routing functions, and the secondary PE is monitoring the primary PE waiting for it to fail. The primary PE could be signaling on a communications link, such as a backplane line, once per second as a result of a high-priority interrupt to let the secondary PE know that all is fine, but actually it is in an endless loop in a slightly lower priority interrupt. In this

15   situation the primary PE has failed, but it is indicating to the secondary PE that it has not failed, preventing the secondary PE from taking over. In such a case, a system administrator may want to reboot the primary PE to fix the situation, forcing the primary PE to fail and allowing the secondary PE to take over. Such a forced failover may be caused by having the system administrator issue a command

20   (presumably a privileged mode command) through a console port for the secondary PE.

However, because the secondary PE is prohibited from loading its configuration file until the first PE fails, it has no password protection until that time

25   comes. If the system allows a user to obtain access to such privileged mode (without an enable password) through the second console, anyone with access to the second console can issue any destructive or privileged commands, for example, changing the configuration and then causing a forced failover at will. Such unrestricted issuance of commands without password protection poses a risk to the computer system. For

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example, some loss of information is almost inevitable if a failover occurs. Repeated forced failovers may lead to serious consequences. In addition, the performance of the secondary PE may be lower than that of the primary PE, so that a forced failover could cause lower performance in the system than desired. Thus, the prior art

5   redundant PE system can improve its reliability only at the cost of its security.

SUMMARY OF THE INVENTION

5   A high reliability computer system includes a first processing engine (PE), a first memory and a third memory both accessible by the first PE, a second PE, and a second memory and a fourth memory both accessible by the second PE. The first memory contains initialization information for the first PE. The third memory has a location for storing an enable password or a surrogate therefor for the first PE. The

10   second memory contains initialization information for the second PE. The computer system also includes circuitry for switching control of the system from the first PE to the second PE upon detection of a failure of the first PE, and a password passer writing the enable password or a surrogate therefor of the first PE to the fourth memory. Alternatively, a network system includes an authentication, authorization

15   and accounting (AAA) or any other password server having a database for maintaining an enable password for a high reliability computer system. The high reliability computer system includes an interface capable of communicating with the password server over an information bus. The interface obtains the enable password from the password server in response to a request from either one of the first and

20   second PEs.

BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a process flow diagram illustrating an example of initialization process which begins with the startup of a computer system.

Fig. 2 is a process flow diagram illustrating operations in a prior art redundant PE system.

Fig. 3 is a system block diagram schematically illustrating a high reliability computer system according to a presently preferred embodiment of the present invention.

Fig. 4 is a process flow diagram illustrating an example of operation of a high reliability computer system according to one presently preferred embodiment of the present invention.

Fig. 5 a system block diagram schematically illustrating a high reliability computer system according to another presently preferred embodiment of the present invention.

Fig. 6 is a process flow diagram illustrating an example of operation of a high reliability computer system according to one presently preferred embodiment of the present invention.

Fig. 7 is a system block diagram schematically illustrating a network system providing password protection for a high reliability computer system according to a presently preferred embodiment of the present invention.

Fig. 8 is a process flow diagram illustrating an example of operation of the network system according to one presently preferred embodiment of the present invention.

5   Fig. 9 is a system block diagram schematically illustrating a network system providing password protection for a high reliability computer system according to another presently preferred embodiment of the present invention.

Fig. 10 is a process flow diagram illustrating an example of operation of the

10   network system according to one presently preferred embodiment of the present invention.

DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

5   Those of ordinary skill in the art will realize that the following description of the present invention is illustrative only and not in any way limiting. Other embodiments of the invention will readily suggest themselves to such skilled persons having the benefit of this disclosure.

10   Fig. 3 schematically shows a high reliability computer system 100 according to an embodiment of the present invention. The computer system 100 includes a first PE 10 (operating as the primary PE), a first memory 12 accessible by the first PE 10, a second PE 14 (operating as a secondary PE), a second memory 16 accessible by the second PE 14, a third memory 20 accessible by the first PE 12, and a fourth memory 15 18 accessible by the second PE 14. The first memory 12 contains initialization information for the first PE 10 and the second memory 16 contains initialization information for the second PE 14. The initialization information typically includes a configuration file to configure the system software and hardware such as terminal server and/or interfaces 30. The first and second memories 12 and 16 are typically 20 non-volatile memories, such as NVRAM, PCMCIA flash memory cards, hard disks, and the like. The third memory 20 has a predetermined location for storing an enable password (P/W) for the first PE 10. The third memory 20 may be a RAM of the first PE 10. The fourth memory 18 has a predetermined location for storing an enable password (P/W) for the second PE 14. The fourth memory 18 may be a RAM of the 25 second PE 14. The first PE 10 and the first memory 12 may be provided on one CPU card (first CPU card), and the second PE 14 and the second memory 16 may be provided on another CPU card (second CPU card). The third and fourth memories 20 and 18 may also be included in the first and second CPU cards, respectively.

The first PE **10** is the primary PE controlling the system **100**. When a failure of the first PE **10** is detected, however, switching circuitry (not shown) switches control of the system **100** to the second PE **14**. The second PE **14** has been waiting for the first PE **10** to fail, and when the first PE **10** fails, the control of the system **100**

5   is switched to the second PE **14**. Such a failover may take place when a failure of the hardware or a severe problem in the software occurs. For example, when a bus error or a segmentation violation occurs, it may be better to switch to the second PE **14** from the first PE **10** rather than to attempt to resolve the problem on the first PE **10**. This seamless switching allows the computer system **100** to continue running,

10   realizing high reliability of the system.

The failure of the first PE **10** may be detected, for example, by the lack of a predetermined signal, or the issuance of a predefined signal, from the first PE **10**. The switching circuitry may be implemented in a controller or a chipset in any convenient

15   location in the system **100**, as is well understood by those of ordinary skill in the art.

At the startup of the computer system **100**, the first PE **10** performs the initialization process by reading the initialization information from the configuration file stored in the first memory **12**, during which an enable password (P/W) is set for

20   the first PE **10** and written to the third memory **20**. A password passer (as indicated by **22** in Fig. 3) writes the enable password of the first PE **10** to the fourth memory **18**, so that the enable password is available to the second PE **14**. The password passer may be implemented in the first PE **10**, the second PE **14**, or any convenient location in the system **100**.

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The password passer controls transmission of the enable password data to the fourth memory **18**. The password passer may "push" the enable password of the first PE **10** into the fourth memory **18**. That is, the password passer passes the enable password to the fourth memory **18** without a request from the second PE **14**, for

example, whenever the enable password is changed. In this case, the password passer may be implemented in the first PE **10**. It should be noted that the fourth memory **18** is dedicated to the second PE **14**, as well as the third memory **20** is dedicated to the first PE **10**. Thus, typically, the first PE **10** does not have direct access to the fourth memory **18** and it needs cooperation (or response) of the second PE **14** to write the enable password to the fourth memory **18**. For example, the password passer (the first PE **10**) may issue a write-password command to the second PE **14**.

As described above, the password passer may write the enable password of the first PE **10** to the fourth memory **18** at the initialization of the system **100**. For example, the password passer may write the enable password to the fourth memory **18** at the same time when the enable password is set for the first PE **10**. The initialization information for the first PE **10** may include such instructions to cause the password passer to write the enable password to the fourth memory **18**. In addition, the password passer may write the enable password to the fourth memory **18** whenever the running configuration of the first PE **10** is saved on the first memory **12**. A running configuration (or active configuration) is a configuration file resides in main memory and contains the configuration commands that are currently active on the system. Changes to the running configuration, including those of passwords, are saved on a non-volatile memory (i.e., the first memory **12**) when an explicit instruction is given. Preferably, the password passer writes the enable password of the first PE **10** to the fourth memory 18 every time the enable password of the first PE **10** is changed, so that the current and updated enable password is always available to the second PE **14**.

Alternatively, the password passer may "pull" the enable password of the first PE **10**; the password passer passes the enable password to the fourth memory **18** only when the second PE **14** requests to do so. That is, the password passer may write the

12

enable password of the first PE **10** to the fourth memory **18** in response to a command from the second PE **14**. For example, the second PE **14** may periodically issue a command to the password passer to retrieve the enable password from the third memory **20** and write it to the fourth memory **18**. An attempt by a user such as a

5   system administrator to issue a privileged command through the second PE **14** may invoke the operation of the password passer to write the enable password to the fourth memory **18**. Then the second PE **14** performs a password-check on the enable password entered by the user comparing with the stored enable password.

10   Next, the operation of the high reliable computer system **100** is described using an example of a network router. In a network router, the first PE **10** (PE1) and the first memory **12** (NVRAM1) may be provided on one CPU card (first CPU card), and the second PE **14** (PE2) and the second memory **16** (NVRAM2) may be provided on another CPU card (second CPU card). The fourth memory 18 (RAM2) may also be

15   included in the second CPU card. Each CPU card usually includes a ROM containing bootstrap software. The ROM may also contain operating system (OS) software. For example, the OS software for a router maybe a Cisco IOS™ product, available from Cisco Systems, Inc. of San Jose, California. The interfaces **30** may include one or more of fixed interfaces, modular interfaces (changeable only when the system is

20   turned off), interface processor cards (changeable while the system is running), and the like. The router also includes a main memory (RAM), a flash memory containing the OS software, and console ports for communicating with the CPU cards (these components are not shown in Fig. 3). The main memory may be provided on each CPU card, or may be commonly used by the two CPU cards. A router may also

25   include additional flash memory and auxiliary ports. Note that those of ordinary skill in the art will recognize that this one CPU per PE card architecture is used herein only as an example and is not a requirement. Therefore, this example is only illustrative and not for the purpose of limiting the present invention.

Fig. 4 schematically shows an example of the operations of the high reliability computer system **100** according to one embodiment of the present invention.

When the system **100** is started, the first PE **10** (PE1) performs an initialization
5   of the system (block **400**).   During the initialization, the first PE **10** loads OS software and loads and executes the configuration file. Typically, the configuration file is read from the first memory **12** (NVRAM1) containing the initialization information (block **402**). During this configuration process, the first PE **10** sets parameters for the interfaces and other hardware of the system (block **404**), and sets
10   the enable password along with other passwords according to the configuration information. If the first PE **10** does not find an already-saved configuration file in the first memory **12**, it creates a new configuration and establishes an enable password and other passwords (by communicating with a system administrator). The new configuration and the enable (and other) password are saved on the first memory **12**.
15   At this point, the enable password is stored in a predefined location on the first memory **12** (block **406**). The enable password may be encrypted (either before or after it is passed) so as to help prevent accidental exposure in the configuration file when displayed on a screen At the same time, the password passer writes the enable password of the first PE **10** to the fourth memory **18** (RAM2) of the second PE **14**
20   (PE2) (step **408**).

The enable password may be changed after the initial setting thereof (block **412**). This may be done by a user having the access to a privileged command (step **410**). When the enable password is changed, the new enable password is copied to
25   the first memory **12** (block **414**) when an explicit instruction is given. The password passer writes the new enable password to the fourth memory **18** of the second PE **14** (step **416**). Typically, when any changes are made to the running configuration, the new configuration is copied to the first memory **12** upon an explicit instruction.

Suppose that in some situations a user such as a system administrator needs to access the computer system **100** to issue a command using the second PE **14** (block **418**). The user makes an attempted access to the system **100** through a console port for the second PE **14** (step **420**). The user is required to enter the enable password in

5   order to obtain access to the privileged command (step **422**). The second PE **14** may prompt the user to enter an enable password. The second PE **14** checks the entered enable password using the enable password stored in the fourth memory **18** (block **424**). If the user is authorized, the user will be allowed access to issue the privileged command, for example, changing the configuration or forcing a failover of the first

10   PE **10** (step **426**).

The above example illustrates the case where the password passer "pushes" the enable password to the fourth memory **18** of the second PE **14**. However, those of ordinary skill in the art will understand other cases where the password passer "pulls"

15   the enable password as well without more detailed explanation.

Fig. 5 schematically shows a high reliability computer system **120** according to another embodiment of the present invention. For convenience, the like components bear like numeral references as in the previous embodiment. The computer system

20   **120** includes a first PE **10** (operating as the primary PE), a first memory **12**, a second PE **14** (operating as the secondary PE), a second memory **16**, and a password memory **24**. The first memory **12** is accessible by the first PE **10** and contains initialization information for the first PE **10**. The second memory **16** is accessible by the second PE **14** and contains initialization information for the second PE **14**. The initialization

25   information typically includes a configuration file to configure the system software and hardware **30**. The first and second memories **12** and **16** are typically non-volatile memories, such as NVRAM. The password memory **24** is coupled to the first PE **10** and the second PE **14** though a bus **28** and accessible by the first PE **10** and the second PE **14**. That is, the password memory **24** is a shared memory for the first and

second PEs **10** and **14**. As in the computer system **100**, the first PE **10** is a primary PE controlling the system **120**. Upon the detection of a failure of the first PE **10**, control of the system **120** is switched to the second PE **14** by switching circuitry (not shown).

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As in the computer system **100**, at the startup of the computer system **120**, the first PE **10** performs the initialization process by reading the initialization information (configuration file) from the first memory **12**. At this point, the enable password is set for the first PE **10**. A password keeper (as indicated by **26** in Fig. 5) writes the

10   enable password of the first PE **10** to the password memory **24**. Once the enable password has been written in the password memory **24**, the enable password is available to the second PE **14** as well as to the first PE **10**. Alternatively and preferably, the enable password may be permanently stored in the password memory **24**. In this case, the enable password is a shared password maintained in a shared

15   memory (i.e., the password memory **24**) and not available on the memory dedicated to each PE. Each PE can obtain the enable password from the password memory **24** whenever it needs the enable password.

The password keeper may be implemented in a controller or chipset (not

20   shown) on any convenient location in the system **120**, as is well understood by those of ordinary skill in the art. The password keeper maintains the enable password of the first PE **10** in the password memory **24**, and controls transmission of the enable password data to and from the password memory **24**.

25   The password keeper may write the enable password of the first PE **10** to the password memory **24** at the initialization of the system **120**, for example, at the same time when the enable password is set for the first PE **10**. The initialization information for the first PE **10** may include such instructions to cause the password keeper to write the enable password to the password memory **24**. Also, the password

keeper may write the enable password to the password memory **24** when the running configuration file for the first PE **10** is saved on the first memory **12**. Typically, the running configuration file is saved in a non-volatile memory (i.e., the first memory **12**) when changes are made to the running configuration and an explicit instruction is

5   given. Preferably, the password keeper writes the enable password of the first PE **10** to the password memory **24** every time the enable password of the first PE **10** is changed, so that the current and updated enable password is always available to the second PE **14**.

10   In the case where the first PE **10** fails and the second PE **14** takes over the first PE **10**, the second PE **14** reboots the first PE **10** and initializes the system **120** by reading the initialization information (the configuration file) from the second memory **16**. Thus, the second PE **14** becomes a primary PE controlling the system **120**, and the first PE **10** a backup or secondary PE. During the initialization process by the

15   second PE **14**, the password keeper may write the enable password of the second PE **14** to the password memory **24**. The initialization information for the second PE **14** may include instructions for the password keeper to write the enable password to the password memory **24**.

20   Since the password memory **24** is also accessible by the first PE **10**, the first PE **10** is also protected by the enable password of the second PE **14** when the second PE **14** controls the system **120**. That is, when a user attempts to access the system **120** and issue a command using the first PE **10** (through a console port for the first PE **10**), the commands issued by the first PE **10** are protected by the enable password of

25   the second PE **14** as well. Preferably, the password keeper writes the enable password of the second PE **14** to the password memory **24** every time the enable password of the second PE **14** is changed, so that the current and updated enable password is always available to the first PE **10**.

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According to the embodiment described above, the password keeper "pushes" the enable password to the password memory **24**. However, the password keeper may "pull" the enable password according to an alternate embodiment of the present invention. That is, the password keeper may pass the enable password of the first PE **10** maintained in the password memory **24** to the second PE **14** in response to a request from the second PE **14**, and vice versa.

Fig. 6 schematically shows an example of the operation of a high reliability computer system **120** according to one embodiment of the present invention.

When the system **120** is started, the first PE **10** (PE1) performs an initialization of the system (block **500**). The initialization process (blocks **500, 502, 504 and 506**) by the first PE **10** is the same as the initialization process in blocks **400** to **406** of Fig. 4 as described above. When the enable password is set for the first PE **10** or stored in the first memory **12** (NVRAM1), the password keeper writes the enable password of the first PE **10** to the password memory **24** (block **508**). An authorized (and privileged) user may access the system (step **510**) and change the enable password after the initial setting thereof (block **512**). When the enable password is changed, the new enable password is copied to the first memory **12** (block **514**) if an explicit instruction is given. At the same time, the password keeper writes the new enable password to the password memory **24** (block **516**).

Suppose that in some situations (**518**) a user such as a system administrator wants to access the system **120** through the second PE **14** (PE2) (**520**). When the user makes an attempt to issue a privileged command, the second PE **14** requires the user to enter the enable password (block **522**). The second PE **14** accesses the password memory **24** and checks the entered password referring to the enable password stored in the password memory **24** (block **524**). If the password check is successful, the user

18

will be allowed to issue the privileged command, for example, changing the configuration or forcing a failover of the first PE **10** (step **526**).

The above example illustrates the case where the password keeper "pushes" the enable password to the password memory **24**. However, those of ordinary skill in the art will understand other case where the password passer "pulls" the enable password as well without more detailed explanation.

Fig. 7 shows a network system **200** for providing password protection for a high reliability computer system, according to one embodiment of the present invention. The network system **200** includes a high reliability computer system **140** and an authentication, authorization and accounting (AAA) or other password server **150** coupled via an information bus **160** to the high reliability computer system **140**. The information bus **160** may be a data communications network. The server **150** includes a database for maintaining the enable password **P/W** for the high reliability computer system **140**.

The computer system **140** includes a first PE **40**, a first memory **42**, a second PE **44**, and a second memory **46**. The first memory **42** is accessible by the first PE **40** and contains initialization information for the first PE **40**. The second memory **46** is accessible by the second PE **44** and contains initialization information for the second PE **44**. The computer system **140** also includes a first console port **52** and a second console port **54**. The first and second console ports **52** and **54** are typically dedicated to the first and second PEs **40** and **44**, respectively. The first PE **40**, the first memory **42**, and the first console port **52** may be included in one CPU card (first CPU card). Similarly, the second PE **44**, the second memory **46**, and the second console port **54** may be included in another CPU card (second CPU card). The first PE **40** is a primary PE controlling the computer system **140**. Upon the detection of a failure of the first PE **40**, control of the computer system **140** is switched to the second PE **44** by

switching circuitry (not shown), as discussed in the previous embodiments described above.

5   The high reliability computer system **140** also includes an interface **50** capable of communicating with the server **150** over the information bus **160**. The interface **50** may be, for example, an Ethernet card. The interface **50** obtains the enable password from the server **150** in response to a request from either one of the first and second PEs **40** and **44**, as described below.

10   The server **150** may perform user authentication, user authorization and user accounting functions. It may implements a Cisco ACS™ product for its AAA services, such as Cisco Secure™, available from Cisco Systems, Inc. of San Jose, California, or an equivalent product providing at least the password authentication and authorization function. In accordance with one embodiment of the present invention, 15 the Remote Authentication Dial-In User Service (RADIUS) protocol is used as the communication protocol between the interface **50** and the server **150**. RADIUS is an Internet protocol for carrying authentication, authorization, accounting and configuration information between devices that desire to authenticate their links and a shared AAA service. Those of ordinary skill in the art will realize that other Internet 20 protocols such as TACACS+ can be used as acceptable authentication communications links between various devices and servers. The server **150** may be any server providing user authentication and authorization capable of maintaining and providing an enable password for a computer system.

25   Fig. 8 shows an example of operation of network system **200**. When the computer system **140** is started, the first PE **40** (PE1) initiates the computer system **140** accordingly (block **600**). In this embodiment, however, the enable password of the first PE **40** is maintained by the AAA or other password server **150** . Therefore, the first PE **40** does not have to set or store the enable password during the

configuration process in block **600**. Other passwords such as access line passwords may be set during the configuration and stored in the first memory **42**, or may be maintained by the server **150**.

5   When the first PE **40** is controlling the computer system **140**, access for issuing privileged commands is password-protected as follows. Suppose that a user such as a system administrator attempts to log in the computer system **140** through a user interface (not shown) or a console port **52** for the first PE **40**. When the user first logs into the computer system **140**, he/she is typically in a user mode and required to enter

10   an enable password in order to get into the privileged mode. When the user makes an attempt to issue a privileged command (step **602**), the first PE **40** controls the interface **50** (I/F) to make a request to the server **150** (step **604**). Note that the request may be made for all log-ins (i.e., privileged and non-privileged).

15   Upon the request from the interface **50**, the server **150** returns the enable password maintained in its database (step **605**). The enable password **P/W** may be encrypted during the transmission over the information bus **160**. The interface **50** receives and passes the enable password to the first PE **40** (step **606**). Then the first PE **40** checks the entered enable password by comparing it with the received enable

20   password (block **607**). If the password checking is successful, the user will be allowed access to the privileged mode (block **608**).

Alternatively, a request from interface **50** (in step **604**) may include the entered enable password. In this case, the server **150** performs the user authentication and

25   authorization using the entered enable password and the enable password maintained in its database. The server **150** then simply returns an access-accept packet or an access-reject packet to the interface **50**. The first PE **40** accepts or rejects the user based on the packet returned from the server **150**.

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In addition, if the server 150 does not respond within a predetermined interval, the computer system 140 may use one or more locally-stored passwords, if the system is so configured. That is, the computer system 140 may also implement the password verification scheme of the computer system 100 or 120 as described above.

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According to an alternate embodiment of the present invention, the first PE 40 may obtain the enable password from the server 150 at the initialization of the computer system 140. That is, the first PE 40 makes a request through the interface 50 during the initialization process at block 600. The server 150 returns the enable

10   password in response to the request from the interface 50. The first PE 40 may store the received enable password in its memory during its control over the computer system 140. The initialization information of the first PE 40 may include such instructions for the interface 50 to access and obtain the enable password from the server 150.

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When a user makes an attempted access to the computer system 140 that is under the control of the first PE 40 and tries to issue a command using the second PE 44 (step 610), the second PE 44 (PE2) makes a request through the interface 50 to the server 150 (steps 612 & 614). When the interface 50 is dedicated to the first PE 40

20   and the first PE 40 is controlling the computer system 140 (before the failure of the first PE 40), the second PE 44 uses the interface 50 through the first PE 40 as indicated by 47 in Fig. 7. When the interface 50 is dedicated to the second PE 44 or after the failure of the first PE 40, the second PE 44 can directly use the interface 50 as indicated by 49 in Fig. 7.

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Upon the request from the interface 50, the server 150 returns the enable password to the interface 50 (step 616). The second CPU 44 obtains the enable password from the interface 50 and performs the password checking (block 618).

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If the password checking is successful, the user will be allowed to issue privileged commands (block **620**) and can issue a command such as to change the configuration or force the first PE **40** to fail (step **622**). Therefore, any commands issued through the second PE **44** are protected by the enable password as well as those issued though the first PE **40**.

Fig. 9 shows a network system **210** for providing password protection for a high reliability computer system, according to another embodiment of the present invention. The network system **210** includes a high reliability computer system **170** and an AAA or other password server **150** coupled via an information bus **160** to the high reliability computer system **170**. The information bus **160** may be a data communications network. The server **150** includes a database for maintaining an enable password for the high reliability computer system **170**. The function of the server **150** and the employed protocols are preferably the same as those in the network system **200** explained above.

The computer system **170** includes a first PE **60**, a first memory **62**, a second PE **64**, a second memory **66**, a first interface **70** for the first PE **60**, a second interface **72** for the second PE **64**, a first console port **52**, and a second console port **54**. The first memory **62** is accessible by the first PE **60** and contains initialization information for the first PE **60**. The second memory **66** is accessible by the second PE **64** and contains initialization information for the second PE **64**. The first and second console ports **52** and **54** are dedicated to the first and second PEs **60** and **64**, respectively. The first and second interfaces **70** and **72** are dedicated to the first and second PEs **60** and **64**, respectively, and capable of communicating with the AAA server **150** over the information bus **160**. Each of the first and second interfaces **70** and **72** may be an Ethernet card. The interfaces **70** and **72** obtain the enable password from the server **150** in response to a request from the first and second PEs **60** and **64**, respectively.

The first PE **60** is a primary PE controlling the computer system **170**. Upon the detection of a failure of the first PE **60**, control of the computer system **170** is switched to the second PE **64** by switching circuitry (not shown), as in the previous embodiments.

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The first PE **60**, the first memory **62**, the first console port **52**, and the first interface **70** may be included in one CPU card (first CPU card). The second PE **64**, the second memory **66**, the second console port **54**, and the second interface **72** may be included in another CPU card (second CPU card). In such a case, the switching circuitry may switch the control of the computer system **170** from the first PE card to the second PE card upon a failure of any function of the first CPU card.

Fig. 10 shows an example of operations of the network system **210** according to one embodiment of the present invention. When the computer system **170** is started, the first PE **60** (PE1) performs the initialization of the computer system **170** accordingly (**700**). Since the enable password of the first PE **60** is maintained by the AAA or other password server **150** (AAA), the first PE **60** does not have to set or store the enable password during the configuration process (block **700**). Other passwords such as access line passwords may be set during the configuration process and stored in the first memory **62**, or may be maintained by the server **150**.

When the first PE **60** is controlling the computer system **170**, access to a privileged mode is password-protected as follows. Suppose that a user such as a system administrator attempts to log in the computer system **170** through a user interface (not shown) or a console port **52** for the first PE **60**. When the user first logs in the system, he/she is typically in a user mode and required to enter an enable password in order to be able to issue a privileged command. When the user makes an attempt to issue privileged command (step **702**), the first PE **60** makes a request through the first interface **70** to the server **150** (step **704**).

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Upon the request from the first interface **70**, the server **150** returns the enable password maintained in its database (step **706**). The enable password may be encrypted during the transmission over the information bus **160**. The first interface **70**

5   (I/F1) receives and passes the enable password to the first PE **60** (step **708**). Then the first PE **60** checks the entered password by comparing it with the received enable password (block **710**). If the password checking is successful, the user will be allowed to issue privileged commands (block **712**).

10   When a user makes an attempt to issue a privileged command using the second PE **64** (PE2) while the computer system **210** is under the control of the first PE **60** (**714**), the second PE **64** makes a request through the second interface **72** to the server **150** (steps **716** & **718**). Such an access may use a console port **54** for the second PE **64**. Upon the request from the second interface **72** (I/F2), the server **150** returns the

15   enable password (**720**). The second interface **72** passes the received enable password to the second PE **64**. The second PE **64** checks the entered password by comparing it with the received enable password (**722**). If the password checking is successful, the user will be allowed to issue a command (block **724**) such as changing the configuration or forcing the first PE **60** to fail (step **726**). Therefore, any commands

20   issued through the second PE **64** are protected by the enable password as well as issued though the first PE **60**.

Alternatively, a request from the first interface **70** or the second interface **72** (in steps **704** or **716**) may include the entered enable password. In this case, the

25   server **150** performs the user authentication and authorization using the entered enable password and the maintained enable password. In this case, the server **150** simply returns an access-accept packet or an access-reject packet to, for example, the first interface **70** where the request came from. The first PE **60** accepts or rejects the user's attempt to issue a privileged command based on the packet returned from the

server **150**. This is the same in the case of the second interface **72** and the second PE **64**.

5   Alternatively, according to one embodiment of the present invention, the first PE **60** may obtain the enable password from the server **150** at the initialization of the computer system **170**. That is, the first PE **60** makes a request through the first interface **70** during the initialization process (block **700**). The server **150** returns the enable password upon the request from the first interface **70**. The first PE **60** may store the received enable in its memory during its control over the computer system

10   **210**. The initialization information of the first PE **60** may include such instructions for the first interface **70** to access and obtain the enable password from the server **150**.

In the case where the second PE **64** fails over to the first PE **60**, the first PE **60** obtains the enable password at that time. Therefore, if the first PE **60** already had the

15   enable password at the time of the initialization, it does not have to obtain it from server **150** unless the enable password of the first PE **60** is different from that of the second PE **64**. This is the same for the second PE **64** when it takes over the first PE **60** and then performs the initialization process.

20   According to the present invention as described above, the enable password is made available to the second PE without causing the second PE to read the initialization information from the configuration file for the second PE. That is, when the computer system is under the control of the first PE, access to the computer system through the second PE (console port of the second PE) is protected by the

25   enable password of the first PE. Thus, an enhanced reliability, such as maintaining the high performance of the system, is realized without creating a security lapse in the system.

It should also be noted that the term "password" as used herein is intended to be broad enough to encompass surrogates for conventional passwords. These include "shared secret" mechanisms, encrypted passwords, mechanisms employing a secure password server. Password files relating users to their respective password and

5 privilege may also be employed. Thus, as used herein, the term "password" is a mechanism of any character employed to distinguish whether a particular identified user is authorized to use a particular command or set of commands.

While embodiments and applications of this invention have been shown and

10 described, it would be apparent to those skilled in the art having the benefit of the disclosure many more modifications than mentioned above are possible without departing from the inventive concepts herein. The invention, therefore, is not to be restricted except in the spirit of the appended claims.